

Attorney Docket No: 10251-037

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Robert J.FEILBOGEN, et al.

Serial No.

09/848,931

Filing Date

May 4, 2001

Entitled

METHOD AND SYSTEM FOR

INITIATING AND CLEARING TRADES

Group Art Unit

2163

**SUPPLEMENTAL** INFORMATION **DISCLOSURE STATEMENT** 

Assistant Commissioner for Patents Washington, D.C. 20231

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Silvia Salvadori

Name of person signing the certification

November 19, 2002

NOV 2 7 2002

Sir:

Pursuant to 37 C.F.R. § 1.56 Applicants submit herewith a PTO-1445 copy of the reference listed thereon.

It is requested that the reference cited in the accompanying PTO-1449 form be considered herein. The reference represents the equivalent U.S. Issue Patent of the International Publication Number WO 00/77709 which applicants submitted in an Information Disclosure Statement filed July 24, 2001.

This Supplemental Information Disclosure Statement is filed before the filing of a first Office Action on the merits, therefore no fee is required.

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If any fee is due, please charge Deposit Account 16-2500.

Respectfully submitted PROSKAUER ROSE LLP Attorneys for the Applicant(s)

Date: November 18, 2002

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Enclosures: International Search Report; PTO-1449; 1 Reference

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